



LIST OF RELATED CASES

<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Inventor/ Applicant</u>
* 208858US0 PCT*	09/831,888	07/19/01	LEWIS, et al.
227923US0 DIV	10/244,519	09/17/02	LEWIS, et al.
230402US0 CONT	10/290,225	11/08/02	LEWIS, et al.
239770US0 DIV	10/612,067	07/03/03	LEWIS, et al.
239775US0 DIV	10/612,072	07/03/03	LEWIS, et al.
241155US0 CONT	10/640,005	08/14/03	LEWIS, et al.

\* present application rxm

Sam Mitchell

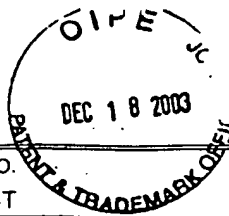
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MAR 15 2004  
TECH CENTER 1600/2900

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MAR 17 2004  
TECHNOLOGY CENTER R3700

\*Present Application



SHEET 1 OF 1

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 208858US0PCT		SERIAL NO. 09/831,888	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT David LEWIS, et al.			
				FILING DATE July 19, 2001		GROUP 3761	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
TM	AA	5,653,961	08/05/97	MCNALLY, et al.			
TM	AB	6,451,285	09/17/02	BLONDINO, et al.			
TM	AC	2003/0077230	04/24/03	BLONDINO, et al.			
TM	AD	6,645,466	11/11/03	KELLER, et al.			
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TM	AW	R.O. Williams, III, et al., <u>European Journal of Pharmaceutics and Biopharmaceutics</u> , vol. 44, pp. 195-203 (1997)					
	AX	RECEIVED					
	AY	MAR 17 2004					
	AZ	TECHNOLOGY CENTER R3700				<input type="checkbox"/> Additional References sheet(s) attached	
Examiner /Teena Mitchell/				Date Considered 8/5/07			
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							



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	<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Inventor/ Applicant</u>
* TM	208858US0 PCT*	09/831,888	07/19/01	LEWIS, et al.
TM	248336US0 DIV	10/766,857	01/30/04	LEWIS, et al.

/Teena Mitchell/

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\* present application

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\*Present Application; listed for information  
NFO/amr

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

208858US0PCT

SERIAL NO.

09/831,888

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

David LEWIS et al

FILING DATE

July 19, 2001

GROUP

3761

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
TM	AA	2003/0190287 A1	10/09/03	David Lewis et al			
↓	AB	2003/0206870 A1	11/06/03	David Lewis et al			
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	AV					

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

TM	AW	B. Meakin, "Fine Particle Dose Control of Solution Based pMDIs", <u>DRUG DELIVERY TO THE LUNGS IX</u> , The Aerosol Society, pp. 1-20, (December 14 & 15, 1998).
TM	AX	<u>ABPI COMPENDIUM OF DATA SHEETS AND SUMMARIES OF PRODUCT CHARACTERISTICS</u> , Datapharm Publications Limited, London, pp. 81-82, (1996-97).
TM	AY	Paul A. Sanders, Ph.D., "Homogeneous Systems and Their Properties", <u>HANDBOOK OF AEROSOL TECHNOLOGY</u> , Second Edition, Van Nostrand Reinhold Company, NY, pg. 30, 1979.
TM	AZ	G. Brambilla et al, "Modulation of Aerosol Clouds Produced by HFA Solution Inhalers", <u>PORTABLE INHALERS</u> , pp. 1559-159, (November 26 & 27, 1998).

☐ Additional References sheet(s) attached

Examiner

*James M. Nittall*

Date Considered

2/5/07

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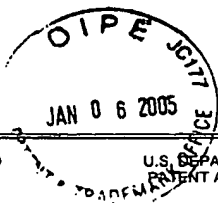
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TM	208858US0 PCT*	09/831,888	07/19/01		LEWIS, et al.
TM	226160US0 PCT	10/204,307	11/13/02	US2003- 0157028 A1	LEWIS, et al.
TM	229934US0 PCT	10/275,891	04/22/03	US2003- 0190289 A1	LEWIS, et al.

/Teena Mitchell/

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\*Present Application; listed for information  
NFO/amr

Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

208858US0PCT

SERIAL NO.

09/831,888

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APPLICANT

David LEWIS et al

FILING DATE

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TM	AA	2003/0190287 A1	10/09/03	David LEWIS et al			
	AB	2003/0206870 A1	11/06/03	David LEWIS et al			
	AC	6,045,778	04/04/00	Paul Donald JAGER et al			
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	AE	6,413,496	07/02/02	Michael GOODMAN et al			
	AF	5,642,728	07/01/97	Jan ANDERSSON et al			
	AG	5,415,853	05/16/95	Helmut HETTICHE et al			
	AH	5,605,674	02/25/97	Tarlochan S. PUREWAL et al			
	AI	6,004,537	12/21/99	Frank E. BLONDINO et al			
	AJ	6,241,969	06/05/01	Zahir SAIDI et al			
	AK	5,891,419	04/06/99	Anthony J. CUTIE			
	AL	5,192,528	03/09/93	R. RADHAKRISHNAN et al			
	AM	4,185,100	01/22/80	David T. ROVEE et al			
TM	AN	6,253,762	07/03/01	Ignatius Loy BRITTO			

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TM	AO	WO 98/34595	08/13/98	WIPO Abstract <i>TKM</i>		XXX
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	AQ	0 911 048	04/28/99	EPO	✓	
	AR	WO 99/12596	03/18/99	WIPO	✓	
	AS	WO 92/20391	11/26/92	WIPO	✓	
	AT	WO 98/03533	01/29/98	WIPO	✓	
	AU	1 525 181	09/20/78	GB	✓	
TM	AV	WO 96/19969	07/04/96	WIPO Abstract <i>TKM</i>		XXX

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

TM	AW	S.S. DAVIS, "Physico-Chemical Studies on Aerosol Solutions For Drug Delivery I. Water-Propylene Glycol Systems", <u>International Journal of Pharmaceutics</u> , 1, 1978, pp. 71-83.	
TM	AX	L. Harrison et al, "Twenty-eight-day Double-blind Safety Study of an HFA-134a Inhalation Aerosol System in Healthy Subjects", <u>J. Pharm. Pharmacol.</u> , 1996, Vol. 48, pp. 596-600.	
TM	AY	P. Hoet et al, "Epidemic of liver disease caused by hydrochlorofluorocarbons used as ozone-sparing substitutes of chlorofluorocarbons", <u>The Lancet</u> , 1997, Vol. 350, pp. 556-559.	
TM	AZ	J. Daly, Jr., "Properties and toxicology of CFC alternatives", <u>Aerosol Age</u> , February 1990, pp. 26-27, 40, 56 and 57.	<input type="checkbox"/> Additional References sheet(s) attached

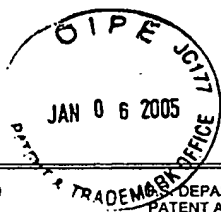
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/Teena Mitchell/

Date Considered

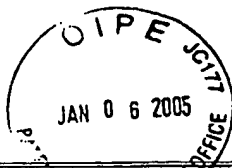
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SHEET 2 OF 4

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LIST OF REFERENCES CITED BY APPLICANT				APPLICANT David LEWIS et al			
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U.S. PATENT DOCUMENTS							
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TM	AA	4,499,108	02/12/85	Joel A. SEQUEIRA et al			
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TM	AC	6,150,418	11/21/00	Dieter HOCHRAINER et al			
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	AR	WO 00/53157	09/14/00	WIPO		✓	
	AS	WO 97/47286	12/18/97	WIPO		✓	
	AT	2 326 334	12/23/98	GB		✓	
	AU	0 653 204	05/17/95	EPO		✓	
TM	AV	WO 01/47493	07/05/01	WIPO		✓	
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
TM	AW	D. Strobach, "Alternatives to CFCs" Part II, <u>Aerosol Age</u> , July 1988, pp. 32-33, 42 and 43					
TM	AX	Tsi-Zong Tzou et al, "Drug Form Selection in Albuterol-Containing Metered-Dose Inhaler Formulations and Its Impact on Chemical and Physical Stability", <u>Journal of Pharmaceutical Sciences</u> , 1997, Vol. 86, No. 12, pp. 1352-1357.					
TM	AY	M.J. Kontny et al, "Issues Surrounding MDI Formulation Development with Non-CFC Propellants", <u>Journal of Aerosol Medicine</u> , 1991, Vol. 4, No. 3, pp. 181-187.					
TM	AZ	I. P. Tansey, "Changing to CFC-Free Inhalers: The Technical and Clinical Challenges", <u>The Pharmaceutical Journal</u> , 1997, Vol. 259, pp. 896-898.				<input type="checkbox"/> Additional References sheet(s) attached	
Examiner /Teena Mitchell/				Date Considered 2/5/07			
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SHEET 3 OF 4

Form PTO 1449  
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PATENT AND TRADEMARK OFFICEATTY DOCKET NO.  
208858US0PCTSERIAL NO.  
09/831,888

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David LEWIS et alFILING DATE  
July 19, 2001GROUP  
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TM	AV	WO 00/07567	02/17/00	WIPO		XXX

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

TM	AW	D. Tiwari et al, "Compatibility Evaluation of Metered-Dose Inhaler Valve Elastomers with Tetrafluoroethane (P134a), a Non-CFC Propellant, <u>Drug Development and Industrial Pharmacy</u> , 1998, Vol. 24, No. 4, pp. 345-352.
TM	AX	<u>Handbook of Pharmaceutical Excipients</u> , 3rd Ed., Kibbe Editor, pp. 7-9, 220-222, 234-235 and 560-561.
TM	AY	L. I. Harrison et al, "Pharmacokinetics and Dose Proportionality of Beclomethasone From Three Strengths of A CFC-Free Beclomethasone Dipropionate Metered-Dose Inhaler", <u>Biopharmaceutics &amp; Drug Disposition</u> , 1997, Vol. 18, No. 7, pp. 635-643.
TM	AZ	Chet Leach, "Enhanced Drug Delivery Through Reformulating MDIs with HFA Propellants-Drug Deposition and Its Effect on Preclinical and Clinical Programs", <u>Respiratory Drug Delivery V</u> , 1996, pp. 133-144.

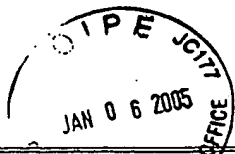
☐ Additional References sheet(s) attached

Examiner /Teena Mitchell/

Date Considered 2/5/07

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SHEET 4 OF 4

Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.  
208858USUS0PCTSERIAL NO.  
09/831,888

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT  
David LEWIS et alFILING DATE  
July 19, 2001GROUP  
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## U.S. PATENT DOCUMENTS

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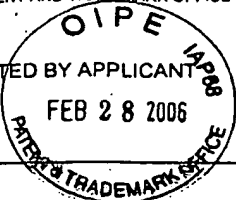
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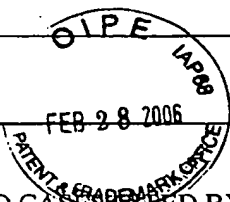
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Date Considered 2/5/07

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 208858US0PCT		SERIAL NO. 09/831,888	
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<b>U.S. PATENT DOCUMENTS</b>							
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TM	AA	US 2005-0152846 A1	7/14/05	DAVIES, et al.	/		
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LIST OF RELATED CASES SUBMITTED BY  
APPLICANT UNDER 37 CFR 1.56

Docket No.: 208858US0PCT

Serial No.: 09/831,888

Inventor: David LEWIS, et al.

Filing Date: July 19, 2001

Group: 3761

LIST OF RELATED CASES

<u>Examiner Initial</u>	<u>Docket No.</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent App. Publication No.</u>	<u>Inventor or Applicant</u>
TM	282154US0CONT	11/289,479	11/30/05		LEWIS, et al.
TM	270851US0PCT	10/531,867	09/16/05		CHURCH, et al.
TM	256873US0PCT	10/504,151	03/10/05	US 2005/0152846 A9	DAVIES, et al.
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TM	256856US0PCT	10/505,861	03/08/05	US2005/0154013 A1	DAVIES, et al.
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Examiner

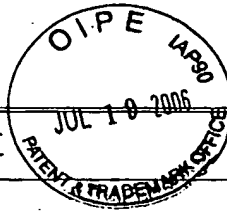
/Teena Mitchell/

Date Considered

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\* present application TM

\*Present Application; listed for information  
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SHEET 1 OF 1

Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.  
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09/831,888

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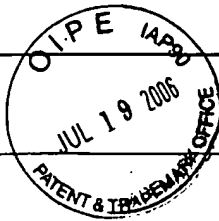
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	Inventor: David LEWIS, et al.	
	Filing Date: July 19, 2001	Group: 3761

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290168US68	11/408,026	04/21/06		LEWIS, et al.
266266US0CONT	11/060,564	02/18/05	US2005/0142071 A1	LEWIS, et al.
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* 208858US0PCT*	09/831,888	07/19/01		LEWIS, et al.

\* Present application *tkm*

Examiner

*Jesse Mitchell*

Date Considered

*2/5/07*

\*Present Application; listed for information  
NFO/ach/kch